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		Attorney Docket Number	OC0101US		
DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION			First Named Inventor	Hichwa	
			COMPLETE IF KNOWN		
(37 CFR 1.63)		Application Number			
☐ Declaration Submitted With Initial Filing  ☐ Declaration Submitted after Initial Filing ☐ Submitted after Initial Filing ☐ (37 CFR 1.16 (e)) required)	Filing Date				
	Group Art Unit				
	Examiner Name				

As a below named inventor, I hereby declare that:						
My residence, mailing address, ar	nd citizenship are as sta	ted below next to my nan	ne.			
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  OPTICAL CROSS-CONNECT WITH MAGNETIC MICRO-ELECTRO-MECHANICAL  ACTUATOR CELLS						
	(7	Title of the Invention)				
the specification of which		·				
is attached hereto OR		11-2 10-				
was filed on (MM/DD/YYYY)		as United St	ates Application I	Number or PCT International		
Application Number	····	mended on (MM/DD/YY)	m	(if applicable).		
I hereby state that I have reviewe amended by any amendment spe	d and understand the co	ontents of the above iden	tified specification	n, including the claims, as		
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.						
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.						
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO		
			0000			
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:						
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.						
Application Number(s)	Filing Dat	e (MM/DD/YYYY)	numbers suppleme	al provisional application are listed on a ental priority data sheet 02B attached hereto.		

[Page 1 of 2]
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## **DECLARATION** — Utility or Design Patent Application

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Name						
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Country	o berein of	my own kn	nowledge at	e true a	nd that all stateme	ents made on information and belief
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.						
NAME OF SOLE OR FIRST INVE				A petit	ion has been fi	led for this unsigned inventor
Given Name (first and middle [if any])				Family or Surr	1 11011111	3
Inventor's British PA	: Live	<u>۔</u>				09 San 2001 Date
Residence: City Santa Rosa			State CA		Country USA	Citizenship US
Mailing Address 4100 Pressley Ro	oad					
Mailing Address						
City Santa Rosa	State C	)A		ZIP	95404	Country US
NAME OF SECOND INVENTOR				A peti	tion has been t	iled for this unsigned inventor
Given Name John S. (first and middle [if any])				Family or Sur	Name Foster	
Inventor's Signature			<del>-</del> 1 · · · · · · · · · · · · · · · · · · ·			Date
Residence: City Santa Barbar	a		State C.	Α	USA Country	Citizenship US
Mailing Address 4678 Via Huerto					<u> </u>	
Mailing Address			·			
Santa Barbara	State C/	Ą		ZIP 93	3110	Country USA
City  ☐ Additional inventors are being named.	Julie		ental Additi			

## **DECLARATION**

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1\_ of 2\_

· · · · · · · · · · · · · · · · · · ·							
Name of Additional Joint Inventor, if any:			A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any]	)		Family Nar	ne or S	urname		
Richard T.			Martin				
Inventor's Signature	,				Date		
Goleta Residence: City	State CA		Country USA		Citizenship US		
5629 Berkeley Road Mailing Address							
Mailing Address							
City Goleta	State <sup>CA</sup>		ZIP 93117	Countr	y USA		
Name of Additional Joint Inventor, if ar	ıy:		A petition has been file				
Given Name (first and middle [if any])			Family Name or Surname				
Paul J.			Rubel				
Inventor's Signature					Date		
Residence: City Santa Barbara	State CA		Country USA		Citizenship US		
Mailing Address 527 La Marina Drive							
Mailing Address							
City Santa Barbara	CA State		ZIP 93109	Cou	ntry USA		
Name of Additional Joint Inventor, if a	ıy:		A petition has been filed	for this	unsigned inventor		
Given Name (first and middle [if any]	)	Family Name or Surname					
John W.			Stocker				
Inventor's Signature Date					Date		
Residence: City Carpenteria	State CA		Country USA		Citizenship US		
Mailing Address 185 Ocean View Avenue							
Mailing Address							
City Carpenteria	CA State		ZIP 93105	Co	untry		

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Supplemental Sheet
Page 2 of 2

Name of Additional Joint Inventor, if an	ıy:		A petition has been filed	for th	is unsigned inventor	
Given Name (first and middle [if any]	)	Family Name or Surname				
Jeffery F.			Summers			
Inventor's Signature					Date	
Residence: City Santa Barbara	State CA	c	USA Country		itizenship US	
Mailing Address 1416 Clear View Road						
Mailing Address			····		!	
City Santa Barbara	State CA		ZIP 93101 Co	untry	, USA	
Name of Additional Joint Inventor, if an	ny:		A petition has been filed fo			
Given Name (first and middle [if any]	)		Family Name	or Su	ırname	
Inventor's Signature					Date	
Residence: City	State	Country Citizenship			Citizenship	
Mailing Address						
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Mailing Address						
City	State		ZIP	Cour	ntry	
Name of Additional Joint Inventor, if a	ny:		A petition has been filed for	this	unsigned inventor	
Given Name (first and middle [if any]	)	Family Name or Surname				
Inventor's Signature				Date		
Residence: City	State		Country		Citizenship	
Mailing Address						
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City	State		7IP	Co	unto.	

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**DECLARATION FOR UTILITY OR** 

DESIGN

PATENT APPLICATION (37 CFR 1.63)

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PAGE 02

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First Named Inventor

**Application Number** 

Filing Oate

Hichwa

COMPLETE IF KNOWN

IX Designation	Declaration	T ming Cate					
☑ Declaration ☐ Submitted OR with Initial	Submitted after Initial Filing (surcharge	Group Art Unit					
Filing (37 ČFR 1.16 (e)) required)	Examiner Nam	e					
As a below named inventor, I he My residence, mailing address, an I believe I am the original, first end names are listed below) of the aut OPTICAL CROSS-CONNE ACTUATOR CELLS	od citizenship are as state I sole inventor (if only one oject matter which is claim CT WITH MAGNETI	name is listed below) ned and for which a pa	or an original, firstent is sought on t	he invention entitled:	ural		
the specification of which  is attached hereto  OR  as United States Application Number or PCT International  was filed on (MM/DD/YYYY)  Application Number  and was amended on (MM/DD/YYYY)  I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.  I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-pert applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.							
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.							
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Atta YES NO	ched?		
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☐ Additional foreign application numbers are fisted on a supplemental priority data sheet PTO/SB/02B attached hereto:							
I hereby claim the benefit under			nal application(s)	isted below.			
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[Page 1 of 2]
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DECLARATION -	- Utility or De	sign Patent A	pplication	
Direct all correspondence to: X Custom or Bar C	er Number 022849 Code Label	or □ co	rrespondence address below	
Name				
Address				
Address				
		State	DP	
City				
Country	Telephone		Fax	
I hereby declare that all statements made her are believed to be true; and further that thes made are punishable by the or imprisonment validity of the application or any patent issued	L or both, under 18 U.S.C. 1 thereon.	001 and that such willful fail	ne statements may jeoperdize the	
NAME OF SOLE OR FIRST INVENT	OR:	A petition has been file	d for this unsigned inventor	
Given Name  (first and middle [ff amy])  Bryant P.		Family Name Hichwa or Surname	<b>_</b>	
Inventor's Signature			Dete	
Rasidence: City Santa Rosa	State CA	Country USA	Chizenship US	
Mailing Address 4100 Pressley Road	- Land -			
Mailing Address				
Chy Santa Rosa	CA	21P 95404	Country US	
NAME OF SECOND INVENTOR:		A petition has been fi	led for this unsigned inventor	
Given Name John S. Family Name Foster or Surname				
inventor's Signature	1011		1/11/01	
Residence: City Santa Barbara	State C	A Country	Citizenship US	
Maling Address 4678 Via Huerto			_	
Mailing Address Santa Berbers	CA	2pp 93110	Country USA	
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DECLARATION			AD	Suga	MAL INVENTOR(9) terminial Sheet 90 1_ of <u>2</u>
Nemo of Additional Joint Inventor, if any	:	0			hie unaligned inventor
Clieby Marine (flust and criddle (if anyl)		_	Femily N	anny ar d	lumário
Richard T.			Martin	<del></del>	
Person Cay Golden	lsqu	2			De 11 Jan 3001
Residence: City Goldta	-CA	c	JUSA USA	l	Categoraphie US
5629 Bertuley Road		-			
Soleta	eggs CA		93117	Cours	, USA
Name of Additional Joint Inventor, if sit	) <u>;</u>		A publich has been t	hed for t	his unaigned inventor
Given Higher (first and middle   # any)			Fundy	<b></b>	Burnerse
Paul J.			Rubel		
	R	12	, the s		Jan 11, 200
Residence: City Sente Berbers	-C	<u> </u>	Country USA		Chinaship US
Maline Addresse 527 La Marina Drive					-
Santa Barbara		CA	<b>22</b> 93109		USA
Name of Additional Joint Inventor, If a	ny:	0		Rend for t	ris unsigned inventor
Chron Name (first and middle  f sm)			<del></del>	No.	to or Sumarins
John W.			Stocker		
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## **DECLARATION**

**ADDITIONAL INVENTOR(S)** Supplemental Sheet Page 2 of 2

		<u> </u>			
Name of Additional Joint Inventor, if an		A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])	)	F	amily Name or Su	mame	
Jeffery F.		Sum	mers		
Inventor's 12/14 3/1/1/1/	ns_			Date (JAN 11, 2001	
Residence: City Santa Barbara	State CA	Country US/		itizenehip US	
Mailing Address 1416 Clear View Road					
Melling Address					
City Santa Barbara	State CA	ZIP 93101	Country	USA	
Name of Additional Joint Inventor, if an	y:	A petition has	been flied for this	unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname			
inventor's Signature				Dete	
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City	State	ZIP	Coun	try	
Name of Additional Joint Inventor, if an	ıy:	A petition has t			
Given Name (first and middle [if any])		Family Name or Surname			
inventor's Signature				Date	
Residence: City	State	Country		Citizenship	
Mailing Address					
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City	State	219	Cou	intry	

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STATEMENT UNDER 37 CFR 3.73(b)				
Applicant/Patent Owner: Hichwa et al.				
Application No./Patent No.: unassigned	Filed/Issue Date: herewith			
Entitled: OPTICAL CROSS-CONNECT WITH MAGNE	ETIC MICRO-ELECTRO-MECHANICAL ACTUATORS			
Optical Coating Laboratory, Inc. , a corpor				
	ssignee, e.g., corporation, partnership, university, government agency, etc )			
states that it is:				
	t; or (Equal, undivided interest with Applied Magnetics			
an assignee of less than the entire right, title and The extent (by, percentage) of its ownership interest.	d interest Corporation, dba Innovative Micro Technology,			
in the patent application/patent identified above by virtu				
A. [X] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.				
OR				
B. [ ] A chain of title from the inventor(s), of the patent assignee as shown below:	t application/patent identified above, to the current			
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2. From:The document was recorded in the United	To:			
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The document was recorded in the United :	States Patent and Trademark Office at, or for which a copy thereof is attached.			
[ ] Additional documents in the chain of title ar				
] Copies of assignments or other documents in the characteristic [NOTE: A separate copy (i.e., the original assignment must be submitted to Assignment Division in accordance recorded in the records of the USPTO. See MPEP 3	nain of title are attached.  Int document or a true copy of the original document)  Ince with 37 CFR Part 3, if the assignment is to be  302.08]			
he undersigned (whose title is supplied below) is author	rized to act on behalf of the assignee.			
Jen. 17, 200; Date	Scott W. Hewett			
Date	Typed or printed name			
	Signature Page 41,836			
	Attorney for Optical Coating Laboratory, Inc.			
	Title			

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